

AUG 01 2014

FRANCHISE SELLER DISCLOSURE FORM

Dept of Business Oversight

1 List who will solicit, offer or sell franchises for the Franchisor in this state

A Name Richard Morris

B Business address and telephone number

One Executive Boulevard, 1st Floor
Yonkers, New York 10701
914-920-5060

C Present employer Atax Franchise, Inc

D Present title Executive Vice President & Chief Operating Officer

E Employment during the past five years For each employment state the name of the employer, position held, and beginning and ending dates

Mr Morris has been our Executive Vice President and Chief Operating Officer since August, 2012 He was the President of Morris Consulting Group in Summit, New Jersey from October, 2009 to August, 2012 and from December, 2010 to May, 2012, he was the Sr Vice President of Operations & Development for City-Wide Development Group, Inc in Carle Place, New York Mr Morris was the Sr Vice President for United Financial Services Group in Philadelphia, Pennsylvania from September, 2008 to October, 2010 From January, 2000 to September, 2008, Mr Morris was the Vice President of Franchise Development & Acquisitions for Jackson Hewitt Tax Service, Inc in Parsippany, New Jersey

2 State whether the person identified in 1 above

A Has an administrative, criminal or material civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?

YES _____ NO _____ X _____

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

B Had during the 10-year period immediately before the disclosure document's issuance date been convicted of or pleaded nolo contendere to a felony to a felony charge, or been held liable in a civil action involving an alleged violation of a franchise, antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?

YES _____ NO X _____

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

C Is subject to a currently effective injunction or restrictive order or decree resulting from a pending or concluded action brought by a public agency and relating to the franchise, or to a Federal, State or Canadian franchise, securities, antitrust, trade regulation or trade practice law

YES _____ NO X _____

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

FRANCHISE SELLER DISCLOSURE FORM

1 List who will solicit, offer or sell franchises for the Franchisor in this state

A Name Anthony Ferreira

B Business address and telephone number

One Executive Boulevard, 1st Floor
Yonkers, New York 10701
718-889-3100

C Present employer Atax Franchise, Inc

D Present title Franchise Sales Executive

E Employment during the past five years For each employment, state the name of the employer, position held, and beginning and ending dates

Mr Ferreira has served as our Franchise Sales Executive since March, 2012 He previously served as Business Account Specialist at JP Morgan Chase from December, 2009 to March, 2012 From 2007 to 2009, Mr Ferreira was a Customer Service Representative at Washington Mutual Bank, based in Washington

2 State whether the person identified in 1 above

A Has an administrative, criminal or material civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices or any comparable allegations?

YES _____

NO _____ X _____

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

This is a document preview downloaded from FranchisePanda.com. The full document is available for free by visiting: <https://franchisepanda.com/franchises/atax>