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DEPARIMENT OF BUSINESS OVERSIGHT SAN FRANCISCO

## FRANCHISE DISCLOSURE DOCUMENT Ver. 040115



Phocatox Technologies, LLC 1555 W Oak Street, Suite 100 Zionsville, IN 46077 Telephone (800) 901-0988 ext 101 Fax (281) 206-2666 email info@phocatox com web www phocatox com

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The franchisee will offer odor removal and indoor air and surface decontamination services using BIOSWEEP® technology

The total investment necessary to begin operation of a BIOSWEEP Franchise ranges from \$91,486 to \$115,266 This includes \$73,541 that must be paid to the franchisor or affiliate

This disclosure document summarizes certain provisions of your franchise agreement and other information in plain English Read this disclosure document and all accompanying agreements carefully You must receive this disclosure document at least 14 calendar-days before you sign a binding agreement with, or make any payment to, the franchisor or an affiliate in connection with the proposed franchise sale Note, however, that no governmental agency has verified the information contained in this document.

You may wish to receive your disclosure document in another format that is more convenient for you To discuss the availability of disclosures in different formats, contact Todd Schnitzius at 1555 West Oak Street, Suite 100, Zionsville, IN 46077, or at 1-800-901-0988 extension 101

The terms of your contract will govern your franchise relationship Don't rely on the disclosure document alone to understand your contract Read all your contract carefully Show your contract and this disclosure document to an advisor, like a lawyer or accountant

Buying a franchise is a complex investment The information in this disclosure document can help you make up your mind More information on franchising, such as "A Consumer's Guide to Buying a Franchise," which can help you understand how to use this disclosure document, is available from the Federal Trade Commission You can contact the FTC at 1-877-FTC-HELP or by writing to the FTC at 600 Pennsylvania Avenue, NW, Washington, D C 20580 You can also visit the FTC's home page at www fic gov for additional information Call your state agency or visit your public library for other sources of information on franchising

There may also be laws on franchising in your state Ask your state agencies about them

The date of issuance of this franchise disclosure document is April 13, 2016



## **STATE COVER PAGE**

Your state may have a franchise law that requires a franchisor to register or file with a state franchise administrator before offering or selling in your state. REGISTRATION OF A FRANCHISE BY A STATE DOES NOT MEAN THAT THE STATE APPROVES, RECOMMENDS, OR ENDORSES THE FRANCHISE OR HAS VERIFIED INFORMATION IN THIS DISCLOSURE DOCUMENT

Call the state franchise administrator listed in Exhibit A for information about the franchisor or about franchising in your state

MANY FRANCHISE AGREEMENTS DO NOT ALLOW YOU TO RENEW UNCONDITIONALLY AFTER THE INITIAL TERM EXPIRES YOU MAY HAVE TO SIGN A NEW AGREEMENT WITH DIFFERENT TERMS AND CONDITIONS IN ORDER TO CONTINUE TO OPERATE YOUR BUSINESS BEFORE YOU BUY, CONSIDER WHAT RIGHTS YOU HAVE TO RENEW YOUR FRANCHISE, IF ANY, AND WHAT TERMS YOU MIGHT HAVE TO ACCEPT IN ORDER TO RENEW

Please consider these RISK FACTORS before you buy this franchise.

- 1 THE FRANCHISE AGREEMENT REQUIRES YOU TO SUE PHOCATOX, IF NECESSARY, ONLY IN INDIANAPOLIS, INDIANA OR HAMILTON COUNTY, INDIANA OUT OF STATE LITIGATION MAY FORCE YOU TO ACCEPT A LESS FAVORABLE SETTLEMENT FOR DISPUTES IT MAY ALSO COST MORE TO SUE PHOCATOX IN INDIANA THAN IN YOUR HOME STATE THIS MAY BE SUPERSEDED BY STATE LAW SEE STATE LAW ADDENDUM, IF ANY, ATTACHED TO THIS FRANCHISE DISCLOSURE DOCUMENT
- 2 THE FRANCHISE AGREEMENT STATES THAT INDIANA LAW GOVERNS THE AGREEMENT, AND THIS LAW MAY NOT PROVIDE THE SAME PROTECTIONS AND BENEFITS AS LOCAL LAW YOU MAY WANT TO COMPARE THESE LAWS THIS MAY BE SUPERSEDED BY STATE LAW SEE STATE LAW ADDENDUM, IF ANY, ATTACHED TO THIS FRANCHISE DISCLOSURE DOCUMENT
- 3 THE GRANT OF YOUR BIOSWEEP FRANCHISE IS EXPRESSLY CONDITIONED UPON YOUR SUCCESSFUL PENETRATION OF THE MARKET IN THE EXCLUSIVE TERRITORY YOU WILL BE DEEMED IN DEFAULT IF YOU FAIL TO TIMELY PAY THE MINIMUM ROYALTY FEE
- 4 THERE MAY BE OTHER RISKS CONCERNING THIS FRANCHISE

Effective Date See the next page for state effective dates

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