

F-6699

STATE OF MINNESOTA
DEPARTMENT OF COMMERCE
REGISTRATION DIVISION
(651) 296-2211IN THE MATTER OF THE REGISTRATION OF:
CHARLES SCHWAB & CO INC FRANCHISE AGREEMENT
By CHARLES SCHWAB & CO INCORDER AMENDING
REGISTRATIONWHEREAS, an application to amend the registration and
amendment fee have been filed,IT IS HEREBY ORDERED that the registration dated
August 2, 2011, is amended as of the date set forth below.MIKE ROTHMAN
Commissioner
Department of Commerce
85 7th Place East, Suite 500
St Paul, MN 55101

Date: October 4, 2011



Form A - Uniform Franchise Registration Application
CHARLES SCHWAB & CO., INC.

UNIFORM FRANCHISE REGISTRATION APPLICATION

File No. F-6699
(Insert file number of immediately preceding filing of Applicant)

State: MINNESOTA

Fee: \$100

APPLICATION FOR (Check only one):

- INITIAL REGISTRATION OF AN OFFER AND SALE OF FRANCHISES
- RENEWAL APPLICATION OR ANNUAL REPORT
- PRE-EFFECTIVE AMENDMENT
- XXXX** POST-EFFECTIVE MATERIAL AMENDMENT

1. Full legal name of Franchisor:

CHARLES SCHWAB & CO., INC.
a California corporation

2. Name of the franchise offering:

CHARLES SCHWAB & CO., INC.
CHARLES SCHWAB®

3. Franchisor's principal business address:

211 Main Street
San Francisco, California 94105

4. Name and address of Franchisor's agent in this State authorized to receive service of process:

Commissioner of Commerce
Minnesota Department of Commerce
Franchise Section
85 7th Place East
St. Paul, Minnesota 55101-2198

10-4-11
Anus
8-2-11

5. The states in which this application is or will be shortly on file:

Hawaii		Washington
Maryland	Rhode Island	Wisconsin
	South Dakota	
Minnesota	Virginia	

6. Name, address, telephone and facsimile numbers, and e-mail address of person to whom communications regarding this application should be directed:

Rochelle B. Spandorf, Esq.
Davis Wright Tremaine LLP
865 S Figueroa Street, Suite 2400
Los Angeles, CA 90017
Tel: 213 633-6898 | Fax: 213 633-6899
Email: rochellespandorf@dwt.com

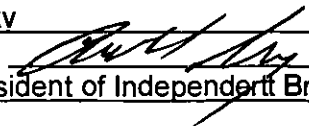
Certification

I certify under penalty of law that I have read and know the contents of this application, including the Multistate Franchise Disclosure Document with an issuance date of June 29, 2011 attached as an exhibit, and that all material facts stated in all those documents are accurate and those documents do not contain any material omissions. I further certify that I am duly authorized to make this certification on behalf of the Franchisor and that I do so upon my personal knowledge.

Signed at San Francisco, California, 8/28, 2011

Franchisor:

CHARLES SCHWAB & CO., INC.
a California corporation

By: Andrew Salesky
 Authorized Signature: 
 Title: Senior Vice President of Independent Branch Services

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