**Uniform Franchise Registration Application** 

FRANCHISE F-9

File No.

Fee: \$400.00

State of Minnesota Dept of Commerce

State: Minnesota

APPLICATION FOR (Check only one):

AUG 2 3 2019

X INITIAL REGISTRATION OF AN OFFER AND SALE OF FRANCHISE Sec'd \$ \_\_\_\_\_\_
RENEWAL APPLICATION OR ANNUAL REPORT
PREEFFECTIVE AMENDMENT
POSTEFFECTIVE MATERIAL AMENDMENT

1. Full legal name of Franchisor:

### ddsmatch Franchise, LLC

2. Name of the franchise offering:

#### ddsmatch

3. Franchisor's principal business address:

## 13291 Mohican Court, Carmel, IN 46033

4. Name and address of Franchisor's agent in this State authorized to receive service of process:

Minnesota Department of Commerce Securities & Registration Division 85 7<sup>th</sup> Place East, Suite 500 St. Paul, MN 55010-2198

5. The states in which this application is or will be shortly on file:

## California, Connecticut, Minnesota, New York, South Dakota

6. Name, address, telephone and facsimile numbers, and e-mail address of person to whom communications regarding this application should be directed:

Barnes & Thornburg LLP 2029 Century Park East, Suite 300 Los Angeles, CA 90067 Attn: Rebekah Prince, Esq. Direct 310-284-3831 Fax: 310-284-3894 Email: Rebekah.Prince@btlaw.com



#### CERTIFICATION

I certify and swear under penalty of law that I have read and know the contents of this application, including the Franchise Disclosure Document with an issuance date of June 28, 2019 attached as an exhibit, and that all material facts stated in all those documents are accurate and those documents do not contain any material omissions. I further certify that I am duly authorized to make this certification on behalf of the Franchisor and that I do so upon my personal knowledge.

, 2019. Signed at Carmel, Indiana on ddsmatch Franchise, LLC By: Name:\_ Thad Miller Title: President A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. STATE OF INDIANA ) ss COUNTY OF Manon On this <u>4</u>th day of <u>July</u> , 2019, before me, Kimberly E. Gomillia (Name of Notary Public) the undersigned officer, personally appeared THAD MILLER, known personally to me to be the

the undersigned officer, personally appeared THAD MILLER, known personally to me to be the President of ddsmatch Franchise, LLC, and that he, as such officer, being authorized to do so, executed the foregoing instrument for the purposes therein contained by signing the name of the entity by himself as such officer.

I certify under PENALTY OF PERJURY under the laws of the State of Indiana that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Kimberly E. Homellia sion expires: January 7, 2026 Signature 7 My commission expires:

KIMBE SCALONIETA Notary Public - Seai Marion County - State of Indiana Commission Number 709037 My Commission Expires Jan 7, 2026



# COMMERCE DEPARTMENT



November 18, 2019

Barnes & Thornburg LLP Attn: Rebekah Prince, Esq. 2029 Century Park East, Suite 300 Los Angeles, CA 90067

Re: F-9073, ddsmatch Franchise, LLC

Dear Ms. Prince:

The initial franchise registration application for the above-referenced applicant has been examined. Please correct or otherwise address the following deficiencies:

- 1. FTC Cover Page: the total investment range listed does not agree with the range shown in Item 7.
- 2. Item 7: please remove from the table the "Lease, Utility & security Deposits" and "Leasehold Improvements" categories.
- 3. Item 21: please amend to disclose the unaudited financial statements included in the 120 document.

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4. Exhibit E: please change the suite number in the Department's address to 280 and update the phone to 651-539-1600.

In responding to the above deficiencies, submit only the marked pages (one set). Do not send entire clean copies. If additional changes have been requested by other states, please include only the pages showing those changes also.

The Commissioner may withdraw an application in which no activity has occurred for 120 days (Minnesota Statutes, Section 80C.05, subd.4). If an Order of Withdrawal is issued, the franchisor must reapply with a new application.

Sincerely, STEVE KELLEY Commissioner By:

Flora Angui Commerce Analyst 2 - Securities Unit Flora.Angui@state.mn.us 651-539-1631

85 7th Place East - Suite 280 - Saint Paul, MN 55101 | P: 651-539-1500 | F: 651-539-1547

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