



85 7th Place East, Suite 500  
St. Paul, Minnesota 55101-2198  
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651.296.4026 FAX 651.297.1959  
An equal opportunity employer

April 20, 2011

MIKE WIELECHOWSKI  
KIRK PALMER & THIGPEN PA  
1100 KENILWORTH AVENUE SUITE 200  
CHARLOTTE, NC 28204

Re: F-5694  
HALLOWEEN EXPRESS LLC  
HALLOWEEN EXPRESS LLC F/A

Dear Mr. Wielechowski:

The Annual Report has been reviewed and is in compliance with Minnesota Statute Chapter 80C and Minnesota Rules Chapter 2860.

This means that there continues to be an effective registration statement on file and that the franchisor may offer and sell the above-referenced franchise in Minnesota.

The franchisor is not required to escrow franchise fees, post a Franchise Surety Bond or defer receipt of franchise fees during this registration period.

As a reminder, the next annual report is due within 120 days after the franchisor's fiscal year end, which is December 31, 2011.

Sincerely,

MIKE ROTHMAN  
Commissioner

By:

Daniel Sexton  
Commerce Analyst Supervisor  
Registration Division  
(651) 296-4520

MR:DES:dlw

UNIFORM FRANCHISE REGISTRATION APPLICATION

FEE: \$200

(Enclosed when application is initially filed)

F-5694

APPLICATION FOR (Check only one):

\_\_\_\_ REGISTRATION OF AN OFFER AND SALE OF FRANCHISES

X REGISTRATION RENEWAL STATEMENT OR ANNUAL REPORT

State of Minnesota  
Dept. of Commerce  
APR 19 2011  
Rec'd \$ AW

AMENDMENT NUMBER \_\_\_\_ TO APPLICATION

\_\_\_\_ POST-EFFECTIVE FILED UNDER SECTION \_\_\_\_

\_\_\_\_ PRE-EFFECTIVE DATED \_\_\_\_\_

1. Name of Franchisor. (If applicant is subfranchisor, the name of the subfranchisor.)

Halloween Express, LLC, a Kentucky limited liability company

Name under which the Franchisor is doing or intends to do business.

Same.

2. Franchisor's principal business address.

100 Progress Way  
Owenton, Kentucky 40359

Name and address of Franchisor's agent in the State of California authorized to receive process:

Minnesota Department of Commerce  
Attn: Commissioner  
85 7<sup>th</sup> Place East, Suite 500  
St. Paul, MN 55101

3. Name, address and telephone number of subfranchisors, if any, for this state.

NONE

4. Name, address and telephone number of person to whom communications regarding this application should be directed.

Mike Wielechowski, Esq.  
Kirk Pahner & Thigpen, P.A.  
1100 Kenilworth Avenue, Suite 200  
Charlotte, NC 28204  
(704) 927-9243

4-20-11  
A/R  
12/3)

**KIRK PALMER & THIGPEN, P.A.**  
ATTORNEYS AT LAW  
1100 KENILWORTH AVENUE  
SUITE 200  
CHARLOTTE, NORTH CAROLINA 28204  
TELEPHONE (704) 332-8000  
FACSIMILE (704) 332-8264

April 18, 2011

**VIA OVERNIGHT MAIL**

Market Assurance Division  
Minnesota Department of Commerce  
85 7th Place East, Suite 500  
St. Paul, MN 55101

**Re: Halloween Express, LLC – Renewal of FDD**

To Whom It May Concern:

On behalf of Halloween Express, LLC (“Halloween Express”) we hereby submit Halloween Express’ request for renewal of its Franchise Disclosure Documents (“FDD”).

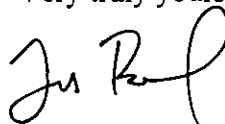
Enclosed herewith you will find a check payable to the Minnesota Commerce Department in the amount of \$200.00 for the renewal fee and the following documents:

- Uniform Franchise Registration Application with Certification Page;
- Consent to Service of Process;
- Complete copy of the FDD (clean);
- Complete copy of the FDD (blackline);
- Auditor’s consent;
- Source of Funds;
- Franchise Seller Disclosure form for Curtis J. Sigretto;
- Franchise Seller Disclosure form for Holly Bowling; and
- Franchise Seller Disclosure form for Karl F. Widner.

If you should have any questions or concerns, please do not hesitate to contact me.

With best regards, I am

Very truly yours,



Les Boswell  
For the Firm

This is a document preview downloaded from FranchisePanda.com. The full document is available for free by visiting: <https://franchisepanda.com/franchises/halloween-express>