

86 7th Place East, Suite 500 St. Paul, Minneseta 55101-2198 www.commerce.state.mn.us 651.296.4026 FAX 651.297.1959

An equal opportunity employer

March 27, 2012

MIKE WIELECHOWSKI KIRK PALMER & THIGPEN PA 1300 BAXTER STREET SUITE 300 CHARLOTTE, NC 28204

Re: F-5694

HALLOWEEN EXPRESS LLC HALLOWEEN EXPRESS LLC F/A

Dear Mr. Wielechowski:

The Annual Report has been reviewed and is in compliance with Minnesota Statute Chapter 80C and Minnesota Rules Chapter 2860.

This means that there continues to be an effective registration statement on file and that the franchisor may offer and sell the above-referenced franchise in Minnesota.

The franchisor is not required to escrow franchise fees, post a Franchise Surety Bond or defer receipt of franchise fees during this registration period.

As a reminder, the next annual report is due within 120 days after the franchisor's fiscal year end, which is December 31, 2012.

Sincerely,

MIKE ROTHMAN Commissioner

By:

Daniel Sexton Commerce Analyst Supervisor Registration Division (651) 296-4520

MR:DES:dlw



## UNIFORM FRANCHISE REGISTRATION APPLICATION,

		File No.	F-5694 Insert file number of immediately preceding filing of Applicant)	
				State of Minnesota Dopt. of Commerce
State:	Minnesota	Fee:	\$200.00	MAR 2.6 2012
APPLICA	TION FOR (Check only one):			- VIV

**INITIAL REGISTRATION OF AN OFFER AND SALE OF FRANCHISES RENEWAL APPLICATION OR ANNUAL REPORT** X **PRE-EFFECTIVE AMENDMENT POST-EFFECTIVE AMENDMENT** 

- 1. Full Legal name of Franchisor: Halloween Express, LLC
- 2. Name of the franchise offering: Halloween Express
- 3. Franchisor's principal business address:

**100 Progress Way Owenton, Kentucky 40359** 

4. Name and address of Franchisor's agent in this State authorized to receive service of process:

> **Minnesota Department of Commerce** Attn: Minnesota Commissioner of Commerce 85 7th Place East, Suite S00 St. Paul, MN 55101

- 5. The states in which this application is or will be shortly on file: California, Illinois, Indiana, Maryland, Minnesota, New York, North Dakota, South Dakota, Virginia, Washington and Wisconsin.
- 6. Name, address, telephone and facsimile numbers, and e-mail address of person to whom communications regarding this application should be directed:

**Mike Wielechowski** Kirk Palmer & Thigpen, P.A. **1300 Baxter Street** Suite 300 Charlotte, North Carolina 28204 mwielechowski@kptlaw.com

A/2 Amos

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## KIRK PALMER & THIGPEN, P.A.

Attorneys at Law 1300 Baxter Street Suite 300 Charlotte, North Carolina 28204 Telephone (704) 332-8000 Facsimile (704) 332-8264

March 23, 2012

## VIA OVERNIGHT MAIL

Market Assurance Division Minnesota Department of Commerce 85 7th Place East, Suite 500 St. Paul, MN 55101

Re: Halloween Express, LLC – Renewal of FDD File No: F-5694

To Whom It May Concern:

On behalf of Halloween Express, LLC ("Halloween Express") we hereby submit Halloween Express' request for renewal of its Franchise Disclosure Documents ("FDD").

Enclosed herewith you will find a check payable to the Minnesota Commerce Department in the amount of \$200.00 for the renewal fee and the following documents:

- Uniform Franchise Registration Application with Certification Page;
- Consent to Service of Process;
- Complete copy of the FDD (blackline);
- Source of Funds;
- Auditor's consent;
- Franchise Seller Disclosure form for Curtis J. Sigretto;
- Franchise Seller Disclosure form for Holly Bowling; and
- Franchise Seller Disclosure form for Karl F. Widner.

If you should have any questions or concerns, please do not hesitate to contact me.

With best regards, I am

Very truly yours,

Mike Wielechowsk For the Firm

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