



85 7th Place East, Suite 500  
St. Paul, Minnesota 55101-2198  
[www.commerce.state.mn.us](http://www.commerce.state.mn.us)  
651.296.4026 FAX 651.297.1959  
An equal opportunity employer

July 25, 2012

BARRY BLOCK  
AUSTIN LANDING I  
10050 INNOVATION DRIVE SUITE 400  
DAYTON, OH 45342

Re: F-5803  
HRG AFFILIATES LLC  
HRG AFFILIATES LLC F/A

Dear Mr. Block:

The Annual Report has been reviewed and is in compliance with Minnesota Statute Chapter 80C and Minnesota Rules Chapter 2860.

This means that there continues to be an effective registration statement on file and that the franchisor may offer and sell the above-referenced franchise in Minnesota.

The franchisor is not required to escrow franchise fees, post a Franchise Surety Bond or defer receipt of franchise fees during this registration period.

As a reminder, the next annual report is due within 120 days after the franchisor's fiscal year end, which is March 31, 2013.

Sincerely,

MIKE ROTHMAN  
Commissioner

By:

Daniel Sexton  
Commerce Analyst Supervisor  
Registration Division  
(651) 296-4520

MR:DES:dlw

## Form A – Uniform Franchise Registration Application

## UNIFORM FRANCHISE REGISTRATION APPLICATION

State of Minnesota  
Dept. of Commerce  
JUL 24 2012  
Rec'd \$200File No. F-5803  
(Insert file number of immediately  
preceding filing of Applicant)State: MinnesotaFee: \$200.00

## Application For (Check only one):

- INITIAL REGISTRATION OF AN OFFER AND SALE OF FRANCHISES
- RENEWAL APPLICATION OR ANNUAL REPORT
- PRE-EFFECTIVE AMENDMENT
- POST-EFFECTIVE MATERIAL AMENDMENT

1. Full legal name of Franchisor: HRG Affiliates LLC
2. Name of the franchise offering:  
HRG Affiliates
3. Franchisor's principal  
business address: 595 Summer Street, 4th Floor  
Stamford, Connecticut 06901
4. Name and address of Franchisor's agent  
in this State authorized to receive  
service of process: Minnesota Commissioner of Commerce  
Department of Commerce  
85 7th Place East, Suite 500  
St. Paul, Minnesota 55101-2198
5. The states in which this application is or will be shortly on file:  
California, Illinois, Indiana, Michigan, Minnesota, New York, South Dakota, Virginia,  
Washington and Wisconsin.

7-25-12  
A/R  
3(3)

6. Name, address, telephone and facsimile numbers, and e-mail address of person to whom communications regarding this application should be directed:

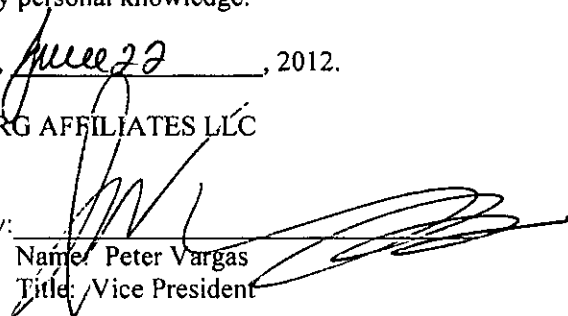
Barry M. Block  
 Austin Landing I  
 10050 Innovation Drive, Suite 400  
 Dayton, Ohio 45342  
 Telephone: (937) 443-6964  
 Facsimile: (937) 443-6635  
 Email: [barry.block@thompsonhine.com](mailto:barry.block@thompsonhine.com)

**Certification**

I certify and swear under penalty of law that I have read and know the contents of this application, including the Franchise Disclosure Document with an issuance date of July 1, 2012 attached as an exhibit, and that all material facts stated in all those documents are accurate and those documents do not contain any material omissions. I further certify that I am duly authorized to make this certification on behalf of the Franchisor and that I do so upon my personal knowledge.

Signed at Miami, Florida, June 22, 2012.

HRG AFFILIATES LLC

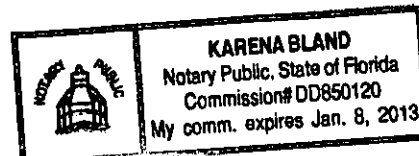
By:   
 Name: Peter Vargas  
 Title: Vice President

STATE OF Florida )  
 )  
 COUNTY OF Dade )  
 )ss:

Personally appeared before me this 22 day of June, 2012 the above-named Peter Vargas to me known to be the person who executed the foregoing application as Vice President of the above-named applicant and being first duly sworn, stated upon oath that said application, and all exhibits submitted herewith, are true and correct.

Karena Bland  
 Notary Public

(Notarial Seal)  
 My Commission Expires: Jan 8 2013



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