

Form B – Franchisor’s Costs and Sources of Funds

FRANCHISOR’S COSTS AND SOURCE OF FUNDS

- 1 Disclose the Franchisor’s total costs for performing its pre-opening obligations to provide goods or services in connection with establishing each franchised business, including real estate, improvements, equipment, inventory, training and other items stated in the offering

Category	Costs
Real Estate	\$ 0
Improvements	\$ 0
Equipment	\$ 0
Inventory	\$ 0
Training	\$ 2500
Other (describe)	
Site Assistance	\$ 5000
Selling	\$ 2500
Total	\$ 10,000

- 2 State separately the sources of all required funds

The franchisor has a self-liquidating franchise fee which enables it to fulfill its obligations to its franchisees. This fee is \$10,000.00 per unit and compensates the franchisor for its selling, administrative and training expenses. The franchisor believes that the franchise fee of \$10,000.00 approximates its costs to fulfill its obligations to the franchisee prior to the opening of the franchisee's unit. To the extent that said sum is insufficient, the franchise has sufficient working capital, if needed, to draw upon to fulfill its commitments.

- 1 List who will solicit, offer or sell franchises for the Franchisor in this state
- A Name **William Humphries**
 - B Business address & telephone number **5646 Coventry Lane, Fort Wayne, IN 46804, 260-434-0055**
 - C Present employer **Humphries Developments of No Indiana Inc**
 - D Present title **President**
 - E Employment during the past five year For each employment, state the name of the employer, position held, and beginning and ending dates **10/83 to Present**

2 State whether the person identified in 1 above

- A Has an administrative, criminal or materials civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?

YES _____ NO X

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

- B Had during the 10-year period immediately before the disclosure document's issuance date been convicted of or pleaded nolo contendere to a felony charge, or been held liable in a civil action involving an alleged violation of a franchise, antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?

YES _____ NO X

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

- C Is subject to a currently effective injunction or restrictive order or decree resulting from a pending or concluded action brought by a public agency and relating to the franchise, or to a Federal, State or Canadian franchise, securities, antitrust, trade regulation or trade practice law

YES _____ NO X

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

- 1 List who will solicit, offer or sell franchises for the Franchisor in this state
 - A Name **Duwayne Schroeder**
 - B Business address & telephone number **5646 Coventry Lane, Fort Wayne, IN 46804, 260-434-0055**
 - C Present employer **Humphries Developments of No Indiana Inc**
 - D Present title **President**
 - E Employment during the past five year For each employment, state the name of the employer, position held, and beginning and ending dates **8/09 to Present**

2 State whether the person identified in 1 above

- A Has an administrative, criminal or materials civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?

YES _____ NO X

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

- B Had during the 10-year period immediately before the disclosure document's issuance date been convicted of or pleaded nolo contendere to a felony charge, or been held liable in a civil action involving an alleged violation of a franchise, antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?

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- 1 Names of the parties
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