

Form D – Franchise Seller Disclosure Form
FRANCHISE SELLER DISCLOSURE FORM
1 List who will solicit, offer or sell franchises for the Franchisor in this state

- A. Name** Marck Shipley (808) 742-2663
- B. Business address and telephone number** 2360 Kiahuna Plantation Road Koloa HI 96756
- C. Present employer** Papalani Gelato Franchise Operating Co LLC
- D. Present title** President
- E. Employment during the past five years** For each employment, state the name of the employer, position held, and beginning and ending dates

Marck Shipley is the owner and manager of Papalani Gelato LLC a frozen dessert retail outlet located in Koloa Hawaii. He is responsible for day-to-day operations of the store including sales marketing and administration. He has held that position since the business was founded in 2007. From 2002 to 2007 Marck was the owner and operator of Harians Chocolates a retail coffee chocolate and gelato shop located on Salt Spring Island British Columbia Canada. From 2004 to 2009 Marck also owned and operated Salt Spring Gelato Delizioso Ltd a gelato wholesaler that distributed throughout western Canada.

2 State whether the person identified in 1 above

- A. Has an administrative, criminal or material civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?**

YES _____ NO ✓

If you answered “yes”, please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

- B. Had during the 10-year period immediately before the disclosure document’s issuance date been convicted of or pleaded nolo contendere to a felony charge, or been held liable in a civil action involving an alleged violation of a franchise, antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?**

YES _____ NO ✓

If you answered “yes”, please provide

- 1 Names of the parties
- 2 The forum

3 Case or proceeding identification number

C Is subject to a currently effective injunction or restrictive order or decree resulting from a pending or concluded action brought by a public agency and relating to the franchise, or to a Federal, State or Canadian franchise, securities, antitrust, trade regulation or trade practice law

YES _____ NO _____ ✓

If you answered “yes”, please provide

- 1 Name of the person**
- 2 Public agency or court**
- 3 Case or proceeding identification number**

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FRANCHISE SELLER DISCLOSURE FORM
1 List who will solicit, offer or sell franchises for the Franchisor in this state

- A. Name** Lauren Shipley (808) 742-2663
- B. Business address and telephone number** 2360 Kiahuna Plantation Road Koloa HI 96756
- C. Present employer** Papalani Gelato Franchise Operating Co LLC
- D. Present title** Secretary and Treasurer
- E. Employment during the past five years** For each employment, state the name of the employer, position held, and beginning and ending dates

Lauren Shipley is the co-owner Papalani Gelato, LLC where she is in charge of product development and production operations She has held that position since 2007 From 2002 to 2007, Lauren co-owned Harlans Chocolates and managed the company's gelato product line She had similar duties from 2004 to 2009 with Salt Spring Gelato Delizioso Ltd

2 State whether the person identified in 1 above

- A. Has an administrative, criminal or material civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?**

YES _____ NO ✓

If you answered "yes", please provide

- 1 Names of the parties
- 2 Forum, nature and current status of the pending action
- 3 Case or proceeding identification number

- B. Had during the 10-year period immediately before the disclosure document's issuance date been convicted of or pleaded nolo contendere to a felony charge, or been held liable in a civil action involving an alleged violation of a franchise, antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?**

YES _____ NO ✓

If you answered "yes", please provide

- 1 Names of the parties
- 2 The forum

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