



# THE LAW FIRM OF

# LEE, HONG, DEGERMAN, KANG & WAIMEY

A PROFESSIONAL CORPORATION

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ATTORNEY AT LAW
CERTIFIED SPECIALIST, FRANCHISE AND DISTRIBUTION LAW
THE STATE BAR OF CALIFORNIA
BOARD OF LEGAL SPECIALIZATION

October 15, 2019

#### VIA WI E-FILING

Franchise Examiner
Department of Financial Institutions
Division of Securities
345 West Washington Avenue, 4th Floor
Madison, Wisconsin 53703-2701

Dear Sir or Madam:

On behalf of our client, **Realty One Group Affiliates**, **Inc.**, doing business as Realty One Group, ("Applicant"), **[File No. 617847]**, to amend Applicant's franchise registration (Unit Franchises) in Wisconsin, enclosed are the following:

- 1. Uniform Franchise Registration Post Effective Amendment No. 2 Application (Form A).
- 2. One complete copy of Applicant's amended franchise disclosure documents ("FDD").

The state filing fee in the amount of \$200.00 has been paid by credit card.

Please review these enclosures at your earliest convenience and notify us when the registration is granted or of any question or comments you may have.

Very truly yours,

DON'M DRYSDALF

**Enclosures** 



## FORM A – Uniform Franchise Registration Application

### **UNIFORM FRANCHISE REGISTRATION APPLICATION**

|        |   | File No         | 617847                                 |  |
|--------|---|-----------------|--|--|
|        |   |                 | ert file number of immediately         |  |
|        |   | pred            | ceding filing of Applicant)            |  |
|        |   | Fee: \$200      |  |  |
|        |   |                 |  |  |
| State: | Wisconsin   | Date:           | October 25, 2019                       |  |
| otato. | Wisconsin   | <b>Date.</b>    | 000000120, 2010                        |  |
| APPLI  | CATION FOR (Check only one):  |                 |  |  |
|        | _ INITIAL REGISTRATION O  | F AN OFFER      | OR SALE OF FRANCHISES                  |  |
|        | _ RENEWAL APPLICATION   | OR ANNUAL       | REPORT                                 |  |
|        | AMEN<br>PRE-EFFECTIVE AMENDIN   |                 | MBER TO APPLICATION                    |  |
| x_     | AMEN<br>POST-EFFECTIVE MATER  |                 | MBER <u>3</u> TO APPLICATION<br>MENT   |  |
| 1.     | Full legal name of Franchisor:  |                 |  |  |
|        | Realty One Group Affiliates, Inc.   |                 |  |  |
| 2.     | Name of the franchise offering:   |                 |  |  |
|        | Realty ONE Group  |                 |  |  |
| 3.     | Franchisor's principal business a   | address:        |  |  |
|        | 23811 Aliso Creek Road, Suite 168   | , Laguna Nigı   | uel, CA 92677                          |  |
| 4.     | Name and address of Franchisor of process:                                    | 's agent in th  | is State authorized to receive service |  |
|        | Wisconsin Commissioner of Secu<br>Madison, Wisconsin 53703-2640.              | urities, 201 \  | West Washington Avenue, Suite 300,     |  |
| 5.     | The states in which this applicati  | on is or will l | be shortly on file:                    |  |
|        | California, Hawaii, Illinois, Indiana, I<br>Dakota, Rhode Island, South Dakot |                 | • • •                                  |  |

## FORM A (cont'd) – Uniform Franchise Registration Application

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6. Name, address, telephone and facsimile numbers, and e-mail address of person to whom communications regarding this application should be directed:

Don M. Drysdale; Lee, Hong, Degerman, Kang & Waimey; 3501 Jamboree Road, Suite 6000, Newport Beach, California 92660-2960, telephone (949) 419-8730 and facsimile (949) 856-3245; E-mail: ddrysdale@lhlaw.com

### **CERTIFICATION**

I certify and swear under penalty of law that I have read and know the contents of this application, including the Franchise Disclosure Document with an issuance date of March 27, 2019 (amended September 26, 2019), attached as an exhibit, and that all material facts stated in all those documents are accurate and those documents do not contain any material omissions. I further certify that I am duly authorized to make this certification on behalf of the Franchisor and that I do so upon my personal knowledge.

| so upon my personal knowledge.  |  |  |  |  |
|---|--|--|--|--|
| Signed at Laguna Niguel, California, on October 25, 2019.   |  |  |  |  |
| FRANCHISOR: REALTY ONE GROUP AFFILIATES, INC.   |  |  |  |  |
| By: Name: Vinnie Tracey Title: President  |  |  |  |  |
| A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.  |  |  |  |  |
| STATE OF CALIFORNIA ) ) ss.:  |  |  |  |  |
| On <u>parabel 25</u> , 2019, before me, <u>M. I. BRIS KORN</u> , Notary Public, personally appeared Vinnie Tracey, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. |  |  |  |  |
| I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  |  |  |  |  |
| WITNESS my hand and official seal.  |  |  |  |  |
| Signature M. I. BRISKORN Notary Public - California Orange County Commission # 2248685 My Comm. Expires Jul 2, 2022   |  |  |  |  |

| nis is a document preview downloaded from FranchisePanda.com. The full document is available fo<br>see by visiting: https://franchisepanda.com/franchises/realty-one-group | r |
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