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FRANCHISE DISCLOSURE DOCUMENT

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SOLAR UNIVERSE, INC
A Delaware corporation
1152 Stealth Street
Livermore, California 94551
(925) 455-4700
www.solaruniverse.com
Info@solaruniverse.com

The franchise offered is for the establishment and operation of a business providing all types of solar system installations, renewable energy products and energy consulting services

The total estimated investment necessary to begin operations of a Solar Universe franchise is \$101,000 to \$306,500. This includes \$25,000 to \$100,000 that must be paid to the franchisor or affiliate. We also offer development rights for Solar Universe franchises. The development fee will depend on the population of your development area at a rate of \$01 per person, for example, if your development area has a population of 500,000, you will pay a development fee of \$5,000 to the franchisor or affiliate.

This Disclosure Document summarizes certain provisions of your franchise agreement and other information in plain English. Read this Disclosure Document and all accompanying agreements carefully. You must receive this Disclosure Document at least 14 calendar-days before you sign a binding agreement with, or make any payment to, the franchisor or an affiliate in connection with the proposed franchise sale. **Note, however, that no governmental agency has verified the information contained in this document.**

You may wish to receive your Disclosure Document in another format that is more convenient for you. To discuss the availability of disclosures in different formats, contact Joseph Bono, 1152 Stealth Street, Livermore, California 94551, (925) 455-4700.

The terms of your contract will govern your franchise relationship. Don't rely on the Disclosure Document alone to understand your contract. Read your entire contract carefully. Show your contract and this Disclosure Document to an advisor, like a lawyer or an accountant.

Buying a franchise is a complex investment. The information in this Disclosure Document can help you make up your mind. More information on franchising, such as "A Consumer's Guide to Buying a Franchise," which can help you understand how to use this Disclosure Document, is available from the Federal Trade Commission. You can contact the FTC at 1-877-FTC-HELP or by writing to the FTC at 600 Pennsylvania Avenue, NW, Washington, DC 20580. You can also visit the FTC's home page at www.ftc.gov for additional information. Call your state agency or visit your public library for other sources of information on franchising.

There may also be laws on franchising in your state. Ask your state agencies about them.

Date of Issuance April 18, 2012

Solar Universe, Inc
April 18 2012

STATE COVER PAGE

Your state may have a franchise law that requires a franchisor to register or file with a state franchise administrator before offering or selling in your state. REGISTRATION OF A FRANCHISE BY A STATE DOES NOT MEAN THAT THE STATE RECOMMENDS THE FRANCHISE OR HAS VERIFIED THE INFORMATION IN THIS DISCLOSURE DOCUMENT.

Call the state franchise administrator listed in **Exhibit H** for information about the franchisor, or about franchising in your state.

MANY FRANCHISE AGREEMENTS DO NOT ALLOW YOU TO RENEW UNCONDITIONALLY AFTER THE INITIAL TERM EXPIRES. YOU MAY HAVE TO SIGN A NEW AGREEMENT WITH DIFFERENT TERMS AND CONDITIONS IN ORDER TO CONTINUE TO OPERATE YOUR BUSINESS BEFORE YOU BUY, CONSIDER WHAT RIGHTS YOU HAVE TO RENEW YOUR FRANCHISE, IF ANY, AND WHAT TERMS YOU MIGHT HAVE TO ACCEPT IN ORDER TO RENEW.

Please consider the following RISK FACTORS before you buy this franchise.

- 1 THE FRANCHISE AGREEMENT REQUIRES THAT MOST DISPUTES BE SUBMITTED TO MEDIATION THEN ARBITRATION IN ALAMEDA COUNTY, CALIFORNIA. OUT OF STATE ARBITRATION MAY FORCE YOU TO ACCEPT A LESS FAVORABLE SETTLEMENT FOR DISPUTES. IT MAY ALSO COST YOU MORE TO ARBITRATE WITH US IN CALIFORNIA THAN IN YOUR HOME STATE.
- 2 THE FRANCHISE AGREEMENT STATES THAT CALIFORNIA LAW GOVERNS MOST ISSUES RELATED TO THE AGREEMENT, AND CALIFORNIA LAW MAY NOT PROVIDE THE SAME PROTECTIONS AND BENEFITS AS YOUR STATE'S LAW. YOU MAY WANT TO COMPARE THESE LAWS.
- 3 MOST STATES AND LOCALITIES HAVE SPECIFIC REGULATIONS AND ORDINANCES APPLICABLE TO THE OPERATION OF YOUR BUSINESS, INCLUDING BUT NOT LIMITED TO THE REQUIREMENT THAT YOU OBTAIN A CONTRACTOR'S, ELECTRICIAN'S, OR SIMILAR LICENSE. IT IS YOUR RESPONSIBILITY TO INVESTIGATE AND COMPLY WITH ALL APPLICABLE LAWS AND REGULATIONS, AND OBTAIN ALL NECESSARY PERMITS, LICENSES AND GOVERNMENTAL APPROVALS REQUIRED TO OPERATE YOUR BUSINESS.
- 4 SOLAR AND OTHER FORMS OF ALTERNATIVE ENERGY ARE AFFECTED BY CERTAIN FINANCIAL, TAX AND OTHER GOVERNMENTAL INCENTIVES AND LAWS. IF THOSE INCENTIVES AND LAWS CHANGE THEY MAY AFFECT YOUR BUSINESS.
- 5 THERE MAY BE OTHER RISKS CONCERNING THIS FRANCHISE.

We may use the services of one or more referral sources, including franchisees, to assist us in selling our franchises. A referral source represents us, not you. We pay this person a fee for helping to sell our franchise or referring you to us. You should be sure to do your own investigation of the franchise.

Effective Date See next page for state effective dates

STATE EFFECTIVE DATES

The following states require that the Franchise Disclosure Document be registered or filed with the state, or be exempt from registration California, Hawaii, Illinois, Indiana, Maryland, Michigan, Minnesota, New York, North Dakota, Rhode Island, South Dakota, Virginia, Washington and Wisconsin

This Franchise Disclosure Document is registered or on file in the following states having franchise registration and disclosure laws, with the following effective dates

State	Effective Date
California	
Hawaii	
Illinois	
Maryland	
New York	
Virginia	

This Franchise Disclosure Document is exempt from the following states having business opportunities laws, with the following effective dates

State	Effective Date
Florida	
Texas (one-time filing)	April 28, 2011
Utah	

For all other states not listed above the effective date of this Disclosure Document is April 18, 2012

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