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DON M. DRYSDALE

ATTORNEY AT LAW CERTIFIED SPECIALIST, FRANCHISE AND DISTRIBUTION LAW THE STATE BAR OF CALIFORNIA BOARD OF LEGAL SPECIALIZATION

July 20, 2021

VIA WI E-FILING

Franchise Examiner **Division of Securities Department of Financial Institutions** 345 West Washington Avenue Madison, Wisconsin 53703

Dear Franchise Examiner:

On behalf of our client, Sperry Commercial Global Affiliates, LLC, doing business as "Sperry Commercial Global Affiliates" and "SperryCGA" [File No. 621993] ("Applicant"), to amend Applicant's franchise registration in Wisconsin, attached are the following:

1. Post-Effective Amendment to Applicant's Franchise Registration (Form A) with Certification.

2. In Adobe PDF format, one complete copy of Applicant's franchise disclosure document ("FDD") and copy of the Post-Effective Amendment and Certification.

The state filing fee of \$200.00 has been paid for by credit card.

We acknowledge that Applicant's registration is granted upon receipt of application and FDD.

Very truly yours

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DON M DRYSDA

WWW.LHLAW.COM bility, and



FORM A – Uniform Franchise Registration Application

UNIFORM FRANCHISE REGISTRATION APPLICATION

File No. 628833 (Insert file number of immediately preceding filing of Applicant)

Fee: \$200

State: Wisconsin

Date: July 7, 2021

APPLICATION FOR (Check only one):

- INITIAL REGISTRATION OF AN OFFER OR SALE OF FRANCHISES
- RENEWAL APPLICATION OR ANNUAL REPORT
- AMENDMENT NUMBER _____ TO APPLICATION PRE-EFFECTIVE AMENDMENT

AMENDMENT NUMBER <u>1</u> TO APPLICATION X POST-EFFECTIVE MATERIAL AMENDMENT

1. Full legal name of Franchisor:

Sperry Commercial Global Affiliates, LLC

2. Name of the franchise offering:

"Sperry Commercial Global Affiliates" and "SperryCGA"

3. Franchisor's principal business address:

18881 Von Karman Avenue, Suite 800, Irvine, California 92612.

4. Name and address of Franchisor's agent in this State authorized to receive service of process:

Wisconsin Commissioner of Securities, 201 W Washington Avenue, Suite 300, Madison, Wisconsin 53703-2640.

5. The states in which this application is or will be shortly on file:

California, Hawaii, Illinois, Indiana, Maryland, Michigan, Minnesota, New York, Virginia, Washington and Wisconsin.



FORM A (cont'd) – Uniform Franchise Registration Application

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6. Name, address, telephone and facsimile numbers, and e-mail address of person to whom communications regarding this application should be directed:

Don M. Drysdale; Lee, Hong, Degerman, Kang & Waimey; 3501 Jamboree Road, Suite 6000, Newport Beach, California 92660-2960, telephone (949) 419-8730 and facsimile (949) 856-3245; E-mail: ddrysdale@lhlaw.com

CERTIFICATION

I certify and swear under penalty of law that I have read and know the contents of this application, including the Franchise Disclosure Document with an issuance date of February 23, 2021 (as amended June 30, 2021), attached as an exhibit, and that all material facts stated in all those documents are accurate and those documents do not contain any material omissions. I further certify that I am duly authorized to make this certification on behalf of the Franchisor and that I do so upon my personal knowledge.

Executed at Irvine, California, on

FRANCHISOR: SPERRY COMMERCIAL GLOBAL AFFILIATES, LLC

R. Rand Sperry Chief Executive Officer

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

COUNTY OF ORANGE

On <u>fuly</u>, 2021, before me, <u>Mnatisation</u>, Notary Public, personally appeared <u>R. Rand Sperry</u> who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



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