

Department of
Business Oversight
NOV 13 2013
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Sacramento

Form D – Franchise Seller Disclosure Form

FRANCHISE SELLER DISCLOSURE FORM

1. List who will solicit, offer or sell franchises for the Franchisor in this state:

- A. Name:** Tom DuFore
- B. Business address and telephone number:** 3479 Maritime Glen, Gainesville, GA 30506, 800-610-0292
- C. Present employer:** Franchise Marketing Systems, LLC
- D. Present title:** COO
- E. Employment during the past five years. For each employment, state the name of the employer, position held, and beginning and ending dates:**
 Conner & Associates, dba Franchise Marketing Systems - June 2012 - Present, COO
 GAR Construction - April 2010-November 2012, Vice President
 Francorp - December 2003 - February 2010, Executive Vice President

2. State whether the person identified in 1 above:

A. Has an administrative, criminal or material civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?

YES _____ NO ✓

If you answered “yes”, please provide:

- 1. Names of the parties:**
- 2. Forum, nature and current status of the pending action:**
- 3. Case or proceeding identification number:**

B. Had during the 10-year period immediately before the disclosure document’s issuance date been convicted of or pleaded nolo contendere to a felony charge; or been held liable in a civil action involving an alleged violation of a franchise, antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?

YES _____ NO ✓

If you answered “yes”, please provide:

- 1. Names of the parties:**
- 2. The forum:**

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3. Case or proceeding identification number:

C. Is subject to a currently effective injunction or restrictive order or decree resulting from a pending or concluded action brought by a public agency and relating to the franchise, or to a Federal, State or Canadian franchise, securities, antitrust, trade regulation or trade practice law.

YES _____ NO ✓

If you answered "yes", please provide:

1. Name of the person:
2. Public agency or court:
3. Case or proceeding identification number:

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1. List who will solicit, offer or sell franchises for the Franchisor in this state:

- A. Name:** Devin Conner
- B. Business address and telephone number:** 3479 Maritime Glen, Gainesville, GA 30506, 800-610-0292
- C. Present employer:** Franchise Marketing Systems, LLC
- D. Present title:** Franchise Consultant
- E. Employment during the past five years. For each employment, state the name of the employer, position held, and beginning and ending dates:**
 - Conner & Associates, dba Franchise Marketing Systems - March 2012 - Present, Franchise Consultant
 - Rok Sushi Kitchen- November 2010-March 2013, Manager
 - Sidebar restaurant - December 2009 - July 2010, Manager

2. State whether the person identified in 1 above:

A. Has an administrative, criminal or material civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?

YES _____ NO ✓

If you answered “yes”, please provide:

- 1. **Names of the parties:**
- 2. **Forum, nature and current status of the pending action:**
- 3. **Case or proceeding identification number:**

B. Had during the 10-year period immediately before the disclosure document’s issuance date been convicted of or pleaded nolo contendere to a felony charge; or been held liable in a civil action involving an alleged violation of a franchise, antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?

YES _____ NO ✓

If you answered “yes”, please provide:

- 1. **Names of the parties:**
- 2. **The forum:**

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