

5/2/14

**FRANCHISOR'S COSTS AND SOURCE OF FUNDS**

1 Disclose the Franchisor's total costs for performing its pre opening obligations to provide goods or services in connection with establishing each franchise including real estate, improvements, equipment, inventory, training and other items stated in the offering

<b><u>Category</u></b>	<b><u>Costs</u></b>
Real Estate	\$ _____
Improvements	\$ _____
Equipment	\$3,000
Inventory	\$500
Training	\$5,000
Other (describe) _____	\$ _____
_____	\$ _____
<b>Total</b>	<b>\$8,500</b>

2 State separately the sources of all required funds

The Franchisor's operating capital and Initial Franchise Fees

RECEIVED  
2014 JUN 25 PM 1 45  
DEPARTMENT OF  
BUSINESS OVERSIGHT  
SAN FRANCISCO

**FRANCHISE SELLER DISCLOSURE FORM**

1 List who will solicit offer or sell franchises for the Franchisor in this state

A Name Steve Daumerie

B Business address and telephone number 1375 S W 12th Avenue  
Pompano Beach FL 33069-4630  
(954) 271 0424

C Present employer Waffy's Group, LLC

D Present title Chief Executive Officer

E Employment during the past 5 years For each employment, state the name of the employer, position held, location and beginning and ending dates (month and year)

Mr Daumerie has served as Chief Executive Officer and Chairman since our organization on August 9, 2010 In addition since September 2006, Mr Daumerie has served as Chief Executive Officer for our Parent, Waffy's Group, LLC and its various subsidiaries located in Pompano Beach, Florida

2 State whether any person identified in 1. above

A Has an administrative, criminal or material civil action pending against that person alleging a violation of franchise, antitrust or securities law, or alleging fraud, unfair or deceptive practices, or any comparable allegations?

Yes  No

If you answered "yes", please provide

1 Names of the parties \_\_\_\_\_

2 Forum, nature and current status of the pending action  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3 Case or proceeding identification number \_\_\_\_\_

**B** Had during the 10 year period immediately before the disclosure document s, issuance date been convicted of or pleaded nolo contendere to a felony charge, or been held liable in a civil action by final judgment or been the subject of a material action involving an alleged violation of a franchise antitrust or securities law, or allegations of fraud, unfair or deceptive practices, or comparable allegations?

Yes  No

If you answered 'yes', please provide

1 Names of the parties \_\_\_\_\_

2 Forum, nature and current status of the pending action  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3 Case or proceeding identification number \_\_\_\_\_

**C** Is subject to a currently effective injunction or restrictive order or decree resulting from a pending or concluded action brought by a public agency and relating to the franchise, or to a Federal, State or Canadian franchise, securities, antitrust, trade regulation or trade practice law

Yes  No

If you answered 'yes', please provide

1 Names of the person \_\_\_\_\_

2 Public Agency or Court \_\_\_\_\_

3 Case or proceeding identification number \_\_\_\_\_

This is a document preview downloaded from FranchisePanda.com. The full document is available for free by visiting: <https://franchisepanda.com/franchises/waffys>