

FRANCHISE DISCLOSURE DOCUMENT

Window World, Inc.
A North Carolina Corporation
118 Shaver Street
North Wilkesboro, North Carolina 28659
(336) 667-2100

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Window World, Inc. is in the business of marketing and selling custom-made vinyl replacement windows, doors and siding for residential and commercial use.

The total investment necessary to begin operation of a Window World franchise ranges from \$159,300 to \$483,600, which includes the \$35,189.00 that must be paid to Window World, Inc., or its affiliates.

This disclosure document summarizes certain provisions of your franchise agreement and other information in plain English. Read this disclosure document and all accompanying agreements carefully. You must receive this disclosure document at least 14 calendar days before you sign a binding agreement with, or make any payment to, the franchisor or an affiliate in connection with the proposed franchise sale. Note, however, that no governmental agency has verified the information contained in this document.

You may wish to receive your disclosure document in another format that is more convenient for you. To discuss the availability of disclosures in different formats, contact Beth H. Vannoy at Window World, Inc., 118 Shaver Street, N. Wilkesboro, NC 28659, (336) 667-2100.

The terms of your contract will govern your franchise relationship. Don't rely on the disclosure document alone to understand your contract. Read all of your contract carefully. Show your contract and this disclosure document to an advisor, like a lawyer or accountant.

Buying a franchise is a complex investment. The information in this disclosure document can help you make up your mind. More information on franchising, such as "A Consumer's Guide to Buying a Franchise," which can help you understand how to use this disclosure document, is available from the Federal Trade Commission. You can contact the FTC at 1-877-FTC-HELP or by writing to the FTC at 600 Pennsylvania Avenue, NW, Washington, D.C. 20580. You can also visit the FTC's home page at www.ftc.gov for additional information. Call your state agency or visit your public library for other sources of information on franchising.

There may also be laws on franchising in your state. Ask your state agencies about them.

Issuance Date: March 27, 2014, as amended October 13, 2014, and as amended February 9, 26, 2015



STATE COVER PAGE

Your state may have a franchise law that requires a franchisor to register or file with a state franchise administrator before offering or selling in your state. REGISTRATION OF A FRANCHISE BY A STATE DOES NOT MEAN THAT THE STATE RECOMMENDS THE FRANCHISE OR HAS VERIFIED THE INFORMATION IN THIS DISCLOSURE DOCUMENT.

Call the state franchise administrator listed in Exhibit E for information about the franchisor or about franchising in your state.

MANY FRANCHISE AGREEMENTS DO NOT ALLOW YOU TO RENEW UNCONDITIONALLY AFTER THE INITIAL TERM EXPIRES. YOU MAY HAVE TO SIGN A NEW AGREEMENT WITH DIFFERENT TERMS AND CONDITIONS IN ORDER TO CONTINUE TO OPERATE YOUR BUSINESS. BEFORE YOU BUY, CONSIDER WHAT RIGHTS YOU HAVE TO RENEW YOUR FRANCHISE, IF ANY, AND WHAT TERMS YOU MIGHT HAVE TO ACCEPT IN ORDER TO RENEW.

Please consider the following RISK FACTORS before you buy this franchise:

- 1. THE FRANCHISE AGREEMENT REQUIRES YOU TO RESOLVE DISPUTES WITH US BY LITIGATION ONLY IN NORTH CAROLINA. OUT-OF-STATE LITIGATION MAY FORCE YOU TO ACCEPT A LESS FAVORABLE SETTLEMENT FOR DISPUTES. IT MAY ALSO COST YOU MORE TO LITIGATE WITH US IN NORTH CAROLINA THAN IN YOUR OWN STATE.
- 2. THE FRANCHISE AGREEMENT STATES THAT NORTH CAROLINA LAW GOVERNS THE AGREEMENT, AND THIS LAW MAY NOT PROVIDE THE SAME PROTECTIONS AND BENEFITS AS LOCAL LAW. YOU MAY WANT TO COMPARE THESE LAWS.
- 3. AS PER THE AUDITED BALANCE SHEET DATED DECEMBER 31, 2013,2014, THE FRANCHISOR HAS A DEFICIT IN MEMBER'S EQUITY OF \$27,865,413.00.19,445.118.
- 4. <u>IF WE ESTABLISH THEM, YOU ARE REQUIRED TO FOLLOW THE FRANCHISOR'S</u> ESTABLISHED PRICING STRUCTURE.
- 5. THERE MAY BE OTHER RISKS CONCERNING THIS FRANCHISE.

Effective Date: See the next page for state effective dates.



State Effective Dates

The following states require that the Franchise Disclosure Document be registered or filed with the state, or be exempt from registration: California, <u>Florida</u>, Hawaii, Illinois, Indiana, Maryland, Michigan, Minnesota, New York, North Dakota, Rhode Island, South Dakota, <u>Utah</u>, Virginia, Washington and Wisconsin.

This Franchise Disclosure Document is registered, on file or exempt from registration in the following states having franchise registration and disclosure laws, with the following effective dates:

STATES	STATUS
California	April 16, 2014, as amended October
	23, 2014, as amended February 10,
22222	2015 Pending
Florida	November 3, 2014
Hawaii	Not Registered
Illinois	April 16, 2014, as amended October
	23, 2014, as amended February 9,
	2015 <u>Pending</u>
Indiana	April 28, 2014
Maryland	February 24, 2015 Pending
Minnesota	July 1, 2014, as amended October
	24, 2014, as amended-February-11,
	2015 <u>Pending</u>
New York	May 28, 2014, as amended
	November 10, 2014, as amended
	February 20, 2015 Pending
North Dakota	May 5, 2014, as amended October
	29, 2014, as amended February 23,
	2015 <u>Pending</u>
Rhode Island	April 16, 2014, as amended October
	23, 2014, as amended February 10,
	2015 <u>Pending</u>
South Dakota	April 24, 2014
Utah	March 28, 2014 Pending
Virginia	. August 23, 2014, as amended
	October 27, 2014, as amended
İ	March 3, 2015 Pending
Washington	April 15, 2014, as amended October
	22, 2014, as amended March 4,
	2015 <u>Pending</u>
Wisconsin	April 15, 2014, as amended October
	23, 2014, as amended February 10,
	2015 <u>Pending</u>

For all other states, this Franchise Disclosure Document is effective on as of the issuance date on the cover page.

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